

07-26-01

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Atty. Dkt. No. 068550-0105

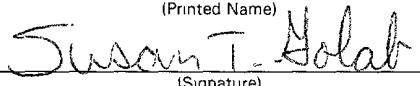
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Hollerman et al

Title: SYSTEM AND METHOD FOR  
DISPLAYING OPTION MARKET  
INFORMATION

Appl. No.: Unknown

Filing Date: Unknown

<b>CERTIFICATE OF EXPRESS MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231	
EL843898260US	25 July 2001
(Express Mail Label Number)	(Date of Deposit)
Susan T. Golab (Printed Name)	
 (Signature)	

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

• Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Michael Hollerman  
Joseph Hernandez  
J. Brad Peek  
Rajesh Aery  
Hua Chen  
Susan DiMagno

Enclosed are:

- ☒ [X] Specification, Claim(s), and Abstract (58 pages).
- ☒ [X] Informal drawings (13 sheets, Figures 1-13).
- ☒ [X] Declaration and Power of Attorney (5 pages).
- ☒ [X] Assignment of the invention to Chicago Board Options Exchange.
- ☒ [X] Assignment Recordation Cover Sheet.
- ☒ [X] Check in the amount of \$40.00 for Assignment recordation.
- ☐ [ ] Small Entity statement.

- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of \_\_\_ listed reference(s).
- ☐ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

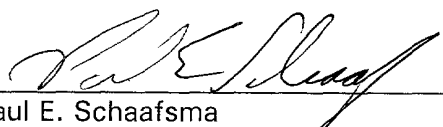
	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	178	- 20	= 158	x \$18.00	= \$2,844.00
Independents:	16	- 3	= 13	x \$80.00	= \$1,040.00
If any Multiple Dependent Claim(s) present:			+ 0	\$270.00	= \$0.00
				SUBTOTAL:	= \$4,594.00
<input type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above):					= \$0.00
				TOTAL FILING FEE:	= \$4,594.00

- ☒ A check in the amount of \$4,594.00 to cover the filing fee is enclosed.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1450. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1450.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 25 July 2001  
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By   
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EXPRESS MAILING CERTIFICATE  
UNDER 37 C.F.R. §1.10

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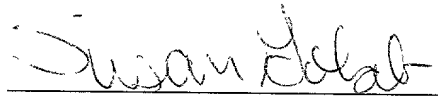
25 July 2001

Date of Deposit

I hereby certify that a Specification (56 pages); informal drawings (13 pages); Utility Patent Application Transmittal (2 pages); Declaration and Power of Attorney (5 pages); Assignment (3 pages); Assignment Recordation Form Cover Sheet (1 page); Check No. 584956 in the amount of \$4,594.00; Check No. 1377 in the amount of \$40.00; and return post card are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. §1.10 on the date indicated above, addressed to the Assistant commissioner for patents, Box PATENT APPLICATION, Washington, D.C. 20231.

Susan T. Golab

(Typed or Printed Name of  
Person Mailing Application)



(Signature of Person  
Mailing Application)

Docket No. 0068550/0105

0068550/0105